



Rev 04/00

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : Klaus Unsicker et al  
Application No. : 10/009,431  
Filed : February 13, 2002  
Confirmation No. : 2347  
For : NEUROPROTECTIVE PROPERTIES OF GDF-15, A  
NOVEL MEMBER OF THE TGF- $\beta$  SUPERFAMILY  
Examiner : Leffers Jr., Gerald, G.  
Attorney's Docket : MBP-007XX

TC Unit: 1636

\*\*\*\*\*  
I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an  
envelope addressed to: Mail Stop Sequence, Commissioner for  
Patents, P.O. Box 2327, Alexandria, VA 22202 on  
Nov. 1, 2004.

By

Holliday C. Heine  
Holliday C. Heine, Ph.D.  
Registration No. 34,346  
Attorney for Applicants

\*\*\*\*\*

PRELIMINARY AMENDMENT

RE: INSERTION OF SEQUENCE LISTING INTO SPECIFICATION

Mail Stop Sequence  
Commissioner for Patents  
P.O. Box 2327  
Alexandria, VA 22202

Sir:

In response to the Notice to Comply with Requirements for  
applications containing Nucleotide Sequences (copy enclosed)  
dated October 1, 2004, please amend the above-identified patent  
application as follows.

Adjustment Date: 06/08/2005 SDIRETA1  
01/10/2005 KTURNER 00000003 230804 10009431  
01 FC:2252 225.00 CR

06/08/2005 SDIRETA1 00000013 230804 10009431  
01 FC:2251 60.00 DR

- 1 -

KINGARTEN, SCHURGIN,  
AGNEDIN & LESOVICI LLP  
EL (617) 542-2290  
AX (617) 451-0213

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**WSGL WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP***Intellectual Property Law*  
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www.wsglip.com**FACSIMILE COVER SHEET**

DATE: April 27, 2005

TO: Receipts Accounting Division  
Tel. No. 703-305-4632

Fax No.: (703) 308-5077

FROM: Charles L. Gagnebin III

No. of pages transmitted  
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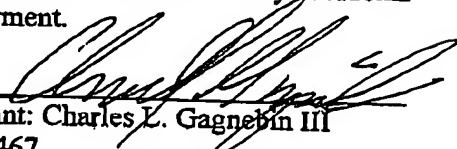
Application No. 10/009,431  
Filed Date: February 13, 2002  
Confirmation No.: 2347

Sent by: Mary Ellen Shea

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MESSAGEPLEASE DELIVER DIRECTLY TO:  
RECEIPTS ACCOUNTING DIVISION, Tel. 703-305-4632**FOR ENTRY**

Enclosed for filing please find a: REQUEST FOR REFUND

The Commissioner is hereby authorized to Charge Deposit Account No. 23-0804 for any additional filing fees associated with this communication or credit any overpayment.

  
Attorney for Applicant: Charles L. Gagnebin III  
Registration No. 25,467

CLG.mes/320592

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Rev 09/03

PATENT

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Examiner : Gerald G. Leffers Jr.  
Attorney's Docket : MBP-007XX

TC Art Unit: 1636

\*\*\*\*\*  
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5077, on 4-27-5.

By: 

Charles L. Gagnebin III

Registration No. 25,467

Attorney for Applicant(s)

\*\*\*\*\*

REQUEST FOR REFUND

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Attention: RECEIPTS ACCOUNTING DIVISION

Sir:

Applicants filed on November 1, 2004, a Preliminary Amendment  
Re Insertion of Sequence Listing Into Specification, CRF Disk of  
Sequence Listing and copy of Notice to Comply dated October 1,  
2004 (copy of postcard and first page of Amendment containing the  
Certificate of Mailing enclosed). The Office Action indicated

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Application No.: 10/009,431  
Filed: February 13, 2005  
TC Art. Unit: 1636  
Confirmation No.: 2347

that the time to respond was 30 days, which was October 31, 2004.  
The Patent Office Deposit Account indicates a charge of \$225.00  
for two months extension of time. Since the response was filed  
November 1, 2004, (31 days from October 1, 2004), Applicants  
submit that only one moth of extension was required. Applicants,  
therefore, request a refund of \$170.00.

Kindly credit the amount to our Patent and Trademark Office  
Deposit Account No. 23-0804. Triplicate copies of this  
transmittal letter are enclosed.

Respectfully submitted,

Klaus Unsicker, et al.

By: 

Charles L. Gagnebin III  
Registration No. 25,467  
Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,  
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Boston, Massachusetts 02109  
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CLG:mes/320405-1

Applicant: Klaus Unsicker ET AL  
Title: NEUROPROTECTIVE AGENTS Filed: FEBRUARY 13, 2003  
Application No. 101 009 431 Patent No. — Docket No. MR 2007 X1

Enclosed is the following:

☒ Transmittal Letter in triplicate,  
Check(s) for \_\_\_\_\_

☐ Application including Pgs. \_\_\_\_\_ of Spec.,  
\_\_\_\_\_ of claims and pg \_\_\_\_\_ of Abstract

☐ Declaration and Power of Attorney.  
Check(s) for \_\_\_\_\_

☐ Informal / Formal Drawings,  
\_\_\_\_\_ Sheets of Figs. \_\_\_\_\_

☐ Letter to Chief Draftsman;

☒ Amend./Resp. dated NOV 1, 2004  
Check(s) for \_\_\_\_\_

☐ Information Disclosure Statement, Form 1449,  
\_\_\_\_\_ refs., Check for \_\_\_\_\_

☐ Assignment; Check for \_\_\_\_\_

☐ Issue Fee: PTO Form 85B&C; Check for \_\_\_\_\_

Date Mailed: Nov 1, 2004

Date Due: Nov 1, 2004

Initials: HCH/mw

Express Mail Receipt No. \_\_\_\_\_

☐ Certificate/Letter of Correction,  
Cover letter & check for \_\_\_\_\_

☐ Notice of Appeal (In Triplicate),  
Check for \_\_\_\_\_

☐ Petition for Extension of Time for  
\_\_\_\_\_ Months, Check for \_\_\_\_\_

☐ Verified Statement Claiming Small Entity Status

☐ Certificate of Mailing by Express Mail

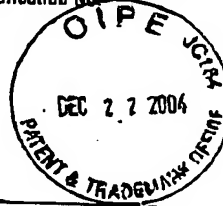
☒ Other COPY OF NOTICE TO COMPLY

WITH SUBMISSION FOR SEQUENCE

FOR SUBMISSION TO PTO

AS DISCLOSED IN 2nd SEQUENCE

Receipt Date & Application No. \_\_\_\_\_



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